

Elucidation of phenotypic adaptations: Molecular analyses of dim-light vision proteins in vertebrates

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Vertebrate ancestors appeared in a uniform, shallow water environment, but modern species flourish in highly variable niches. A striking array of phenotypes exhibited by contemporary animals is assumed to have evolved by accumulating a series of selectively advantageous mutations. However, the experimental test of such adaptive events at the molecular level is remarkably difficult. One testable phenotype, dim-light vision, is mediated by rhodopsins. Here, we engineered 11 ancestral rhodopsins and show that those in early ancestors absorbed light maximally (λ_{\max}) at 500 nm, from which contemporary rhodopsins with variable λ_{\max} s of 480–525 nm evolved on at least 18 separate occasions. These highly environment-specific adaptations seem to have occurred largely by amino acid replacements at 12 sites, and most of those at the remaining 191 ($\approx 94\%$) sites have undergone neutral evolution. The comparison between these results and those inferred by commonly-used parsimony and Bayesian methods demonstrates that statistical tests of positive selection can be misleading without experimental support and that the molecular basis of spectral tuning in rhodopsins should be elucidated by mutagenesis analyses using ancestral pigments.

molecular adaptation | rhodopsin

The morphologies and lifestyles of animals in a wide range of environmental conditions have evolved to generate a striking array of forms and patterns. It is generally assumed that these variations have been driven by mutations, followed by positive Darwinian selection. However, it has been remarkably difficult not only to detect minute selective advantages caused by molecular changes (1), but also to find genetic systems in which evolutionary hypotheses can be tested experimentally (2). In the absence of proper experimental systems, molecular adaptation in higher eukaryotes has been inferred mostly by using statistical methods (for examples, see refs. 3–5). For several cases, however, ancestral molecules have been engineered, allowing studies of functional changes in the past (6). These analyses demonstrate that functional changes actually occurred, but they do not necessarily mean that the new characters were adaptive (7). To complicate the matter further, evolutionary changes are not always unidirectional and ancestral phenotypes may reappear during evolution (8, 9). One effective way of exploring the mechanisms of molecular adaptation is to engineer ancestral molecules at various stages of evolution and to recapitulate the changes in their phenotypes through time. To date, the molecular analyses of the origin and evolution of color vision produced arguably “the deepest body of knowledge linking differences in specific genes to differences in ecology and to the evolution of species” (10). The study of dim-light vision provides another opportunity to explore the adaptation of vertebrates to different environments.

Results

Rhodopsins. Dim-light vision in vertebrates is mediated by rhodopsins, which consist of a transmembrane protein, opsin, and a chromophore, 11-*cis*-retinal (11). By interacting with different opsins, the identical chromophores in different rhodopsins de-

tect various wavelengths of light (reviewed in ref. 12). To explore the molecular basis of the spectral tuning in rhodopsins, *in vitro* assay-based mutagenesis experiments are necessary, in which the wavelengths of maximal absorption (λ_{\max} s) can be measured in the dark (dark spectra) and/or by subtracting a spectrum measured after photobleaching from a spectrum evaluated before light exposure (difference spectra) (for example, see ref. 13). So far, the λ_{\max} s of contemporary rhodopsins measured by using the *in vitro* assay vary between 482 and 505 nm (refs. 12 and 14 and references therein). By using another method, microspectrophotometry (MSP), the rhodopsin of a deep-sea fish, shining loosejaw (*Aristostomias scintillans*), has also been reported to have a λ_{\max} of 526 nm (15).

To examine whether these λ_{\max} s represent the actual variation of the λ_{\max} s of rhodopsins in nature, we isolated the rhodopsins of migratory fish [Japanese eel (*Anguilla japonica*) and its close relative Japanese conger (*Conger myriaster*)], deep-sea fish [Pacific blackdragon (*Idiacanthus antrostomus*)], Northern lampfish (*Stenobranchius leucopsarus*), shining loosejaw (*Aristostomias scintillans*), scabbardfish (*Lepidopus fitchi*), and Pacific viperfish (*Chauliodus macouni*)], and freshwater bluefin killifish (*Lucania goodei*), which live in diverse light environments (www.fishbase.org) [see supporting information (SI) *Methods* and *Fig. S1*]. The eel has two paralogous rhodopsins (EEL-A and -B), as do conger (CONGER-A and -B) and scabbardfish (SCABBARD-A and -B), whereas the others use one type of rhodopsins (BLACKDRAGON, LAMPFISH, LOOSEJAW, VIPERFISH and BFN KILLIFISH) (16) (see also *SI Result 1*).

In the *in vitro* assay, the λ_{\max} s of the dark spectra are more reliable than those of difference spectra (*SI Result 2*) and, therefore, unless otherwise specified, the λ_{\max} s refer to the former values throughout the paper. The λ_{\max} s were determined for EEL-A (500 nm), EEL-B (479 nm), CONGER-A (486 nm), CONGER-B (485 nm), SCABBARD-A (507 nm), SCABBARD-B (481 nm), and BFN KILLIFISH (504 nm) (*SI Result 2*). The λ_{\max} s for LAMPFISH and VIPERFISH could not be evaluated, but those of their difference spectra were 492 and 489 nm, respectively. Neither dark spectra nor difference spectra were obtained for BLACKDRAGON and LOOSEJAW. However, a mutant pigment, which is modeled after LOOSEJAW, has a difference spectrum λ_{\max} of 526 nm (see *Molecular Basis of Spectral Tuning*). Hence, the range of ≈ 480 –525 nm seems to represent the λ_{\max} s of rhodopsins in vertebrates reasonably well.

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The Ecology of Dim-Light and Deep-Sea Vision. One of the critical times for the survival of animals in shallow water and on land is at twilight when the most abundant light falls between 400 and 500 nm (17). Many fish, amphibians, birds, and mammals that live in these environments use rhodopsins with λ_{\max} s of ≈ 500 nm (12). In contrast, in deep water, the distribution of light is much narrower at ≈ 480 nm (18). Mature conger, mature eel, thornyhead, and coelacanth all live at the depths of 200–1,800 m (www.fishbase.org). Our data and that of others (19, 20) show that these fishes achieve their dim-light vision by using rhodopsins with λ_{\max} s of ≈ 480 nm. Because of their λ_{\max} s and specific light environments, the two groups of rhodopsins may be classified simply as “surface” and “deep-sea,” respectively. Despite being active at much deeper depths of 3,000–4,000 m (www.fishbase.org), however, Northern lampfish and Pacific viperfish use rhodopsins with λ_{\max} s of ≈ 490 nm. The higher λ_{\max} s can be explained by their upward migration at night, and LAMPFISH and VIPERFISH can be regarded as “intermediate” rhodopsins. Then, through the use of far-red (≈ 700 nm) bioluminescence to create an artificial light environment, shining loosejaw achieves dim-light vision with the “red-shifted” rhodopsins (15).

Japanese eel spawns in the deep sea, the young adults migrate into freshwater, and the mature fish return to the deep sea for reproduction. Similarly, Japanese conger spawns in the deep sea, their larvae hatch near the coast, but they live only in the sea (www.fishbase.org). For their dim-light vision, young and adult eels use EEL-A and EEL-B, respectively, whereas congeners use only CONGER-B; CONGER-A is expressed in the pineal complex (16). The λ_{\max} of EEL-A (500 nm) reflects the shallow freshwater environment, whereas those of EEL-B and CONGER-B (480–485 nm) match with their light environments in the deep-sea. The λ_{\max} of CONGER-A (486 nm) is similar to those of 470–482 nm in the pineal gland-specific pigments of American chameleon, pigeon, and chicken (reviewed in ref. 12). Hence, EEL-A is a surface rhodopsin and EEL-B and CONGER-B are deep-sea rhodopsins. CONGER-A does not reflect the deep-sea environment directly; however, because of its λ_{\max} , CONGER-A may also be classified as a deep-sea rhodopsin.

Based on considerations of ecology, life history, and λ_{\max} s of rhodopsins, dim-light vision can be classified into biologically meaningful deep-sea, intermediate, surface, and red-shifted vision (see *SI Result 3* for detailed discussion of the classifications of other rhodopsins). The corresponding rhodopsins have λ_{\max} s of 479–486, 491–496, 500–507, and 526 nm, respectively, establishing the units of possible selection. Consequently, it is possible that selective force may be able to differentiate even 4–5 nm of λ_{\max} differences of rhodopsins.

Ancestral Rhodopsins. Based on the composite phylogenetic tree (Fig. 1) (see also *SI Result 4* and Fig. S2) of the 11 newly characterized rhodopsins and 27 others from a wide range of vertebrate species, we inferred the amino acid sequences of ancestral rhodopsins. Because most of their rhodopsin genes have been sequenced partially (Fig. S3), squirrelfish (21) and cichlid (14) rhodopsins were first excluded from this inference. The amino acids inferred by using the Jones, Taylor, and Thornton and Dayhoff models of amino acid replacements of the PAML program (3) are highly reliable (*SI Results 5* and 6, and Tables S1 and S2).

By introducing a total of 137 amino acid changes into various rhodopsins (Table S3), we then engineered pigments at nodes a–k (pigments a–k). The *in vitro* assays show that pigments a–d and f–h have λ_{\max} s of 501–502 nm, whereas others have λ_{\max} s of 496 nm (pigment i) and 482–486 nm (pigments e, j, and k), which are also highly reliable (*SI Results 5* and 6).

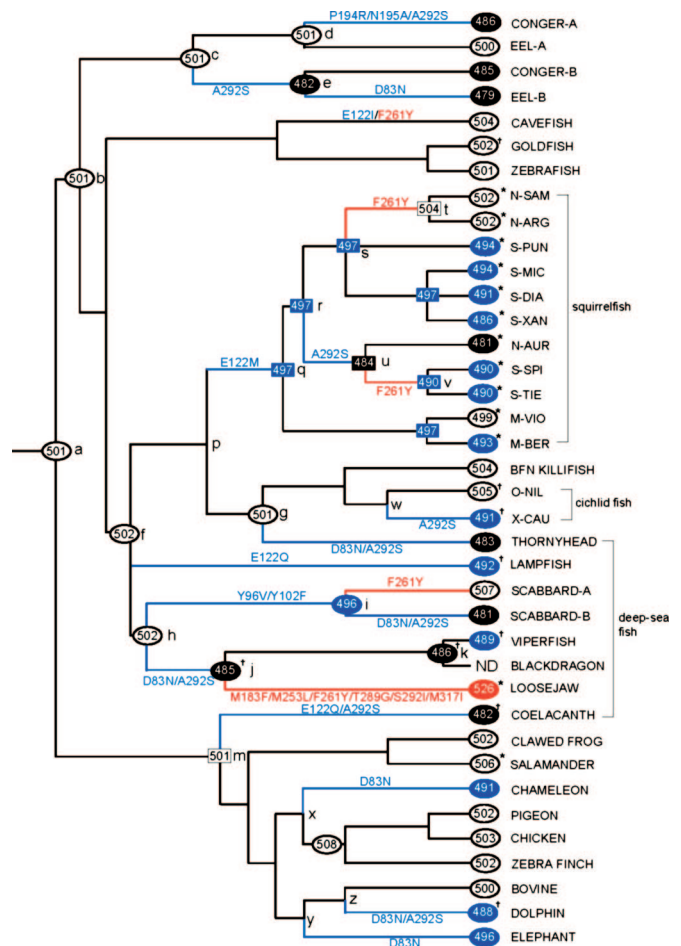


Fig. 1. A composite tree topology of 38 representative rhodopsins in vertebrates. Numbers in ovals are λ_{\max} s evaluated from MSP (*), dark spectra, and difference spectra (t). The numbers in white, blue, black, and red ovals indicate surface, intermediate, deep-sea, and red-shifted rhodopsins, respectively, whereas those in rectangles indicate the expected values based on the mutagenesis results. Because of their incomplete data, the amino acid sequences of pigments a–k have been inferred by excluding the squirrelfish, bluefin killifish, and cichlid rhodopsins. S-PUN and S-XAN are classified as intermediate rhodopsins because of their expected λ_{\max} s, but currently available data are ambiguous. Red- and blue-colored amino acid replacements indicate the color of the shifts in the λ_{\max} . The λ_{\max} of avian ancestral pigment shows that of the ancestral Archosaur rhodopsin (39). ND, the λ_{\max} could not be determined.

Molecular Basis of Spectral Tuning. Because of the interactions between the 11-*cis*-retinal and various amino acids, the λ_{\max} shifts caused by mutations in the opposite directions are often nonsymmetrical (22–24). Hence, to understand the evolutionary mechanism that has generated the various λ_{\max} s of rhodopsins in nature, we must analyze “forward” amino acid replacements that actually took place in specific lineages. The reconstruction of multiple ancestral pigments opens an unprecedented opportunity to study the effects of such forward amino acid replacements on the λ_{\max} shift in different lineages.

At present, certain amino acid changes at a total of 26 sites are known to have generated various λ_{\max} s of rhodopsins and other paralogous visual pigments in vertebrates (25). The amino acid sequences of the 38 representative rhodopsins differ at 11 of the 26 sites (Fig. 2, second column). Among these, the specific amino acid replacements at 46, 49, 52, 93, 97, 116, and 164 are unlikely to have been involved in the spectral tuning (*SI Result 7*). These and other amino acid site numbers in this paper are standardized

Table 1. Results from the NEB and BEB analyses

Rhodopsins	Model*	NEB	BEB
Squirrelfish	M2a	50 162 213 214	50 162 213 214
	M8	37 50 162 213 214	37 50 112 162 213 214 217
Squirrelfish and other fish	M2a	162 212	162, 212
	M8	162, 212	162, 212
Coelacanth and tetrapods	M2a	None	None
	M8	None	None
All	M2a	None	None
	M8	None	None

Sites with $P < 0.01$ levels are in bold.

*The null models and other parameters are given in Table S5.

naive-empirical-Bayes (NEB) and Bayes-empirical-Bayes (BEB) approaches of maximum-likelihood-based Bayesian method (3, 33) and parsimony method (4) to four sets of rhodopsin genes: (i) 11 squirrelfish genes; (ii) the squirrelfish rhodopsins and the bluefin killifish, cichlid, and deep-sea fish genes, excluding the coelacanth gene; (iii) the coelacanth and 9 tetrapod genes; and (iv) all 38 genes (Fig. 1).

Using the parsimony method, we could not find any positively selected amino acid sites. Using the Bayesian methods, however, a total of eight positively selected sites (positions 37, 50, 112, 162, 212, 213, 214, and 217) are predicted (Table 1). The Bayesian results reveal two characteristics. First, the positively selected amino acid sites are predicted only for relatively closely related genes, involving squirrelfish genes, but they disappear as more distantly related genes are considered together. It is also surprising that none of these predicted sites coincide with those detected by mutagenesis experiments. Second, different amino acids at these predicted sites do not seem to cause any λ_{\max} -shifts (SI Result 9, Tables S5–S8, and Fig. S4).

Considering 17 closely related cichlid rhodopsin genes, 26 positively selected sites have also been predicted (34). Again, none of the different amino acids at these sites seem to cause any λ_{\max} shifts and, furthermore, when we add the other 23 genes (the eel, conger, cavefish, goldfish, zebrafish, deep-sea fish, and tetrapod rhodopsin genes in Fig. 1) in the Bayesian analyses, all positively selected sites disappear (SI Result 9)! Why can positively selected sites be inferred more often in closely related genes than in distantly related genes? When nucleotide changes occur at random, the proportions of nonsynonymous and synonymous mutations are roughly 70% and 30%, respectively. Hence, under neutral evolution, or even under some purifying selection, closely related molecules can initially accumulate more nonsynonymous changes than synonymous changes. However, as the evolutionary time increases, synonymous mutations will accumulate more often than nonsynonymous mutations (35). The differential rates of synonymous and nonsynonymous nucleotide substitutions during evolution may explain the prediction of false-positives among the relatively closely related rhodopsins. Or, such inferences may also be affected by the statistical procedures (36).

As we saw earlier, D83N, Y96V, Y102F, E122I, E122M, E122Q, P194R, N195A, and A292S decreased the λ_{\max} , whereas M183F, M253L, F261Y, T289G, S292I, and M317I increased it. These changes are located within or near the transmembrane segments, but most of the amino acid replacements at the remaining 191 neutral sites are scattered all over the rhodopsin molecule (Fig. 3).

Discussion

When moving into new dim-light environments, vertebrate ancestors adjusted their dim-light vision by modifying their

rhodopsins. According to their λ_{\max} s and light environments, rhodopsins are classified into four groups: deep-sea (≈ 480 – 485 nm), intermediate (≈ 490 – 495 nm), surface (≈ 500 – 507 nm), and red-shifted (≈ 525 nm) rhodopsins. Our mutagenesis results establish five fundamental features of molecular evolution that cannot be learned from the standard statistical analyses of protein sequence data.

First, mutagenesis experiments can offer critical and decisive tests of whether or not candidate amino acid changes actually cause any functional changes. Second, the same amino acid replacements do not always produce the same functional change but can be affected by the amino acid composition of the molecule. Therefore, the likelihood of parallel amino acid replacements, which may or may not result in any functional change, can overestimate the actual probability of functional adaptations (SI Result 9).

Third, similar functional changes can be achieved by different amino acid replacements; for example, D83N/A292S, P194R/N195A/A292S, and E122Q decrease the λ_{\max} by 14–20 nm (Table S4). Thus, by simply looking for parallel replacements of specific amino acids, one can fail to discover other amino acid replacements that generate the same functional change, thereby underestimating the chance of finding functional adaptations.

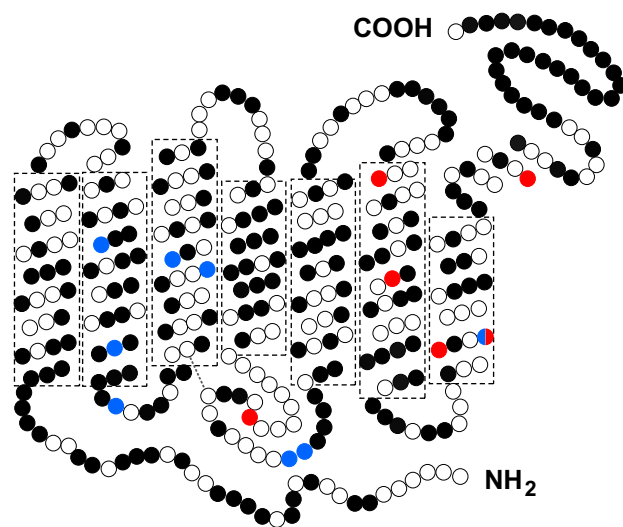


Fig. 3. Secondary structure of BOVINE (26) with a total of 203 naturally occurring amino acid replacements in the 38 vertebrate rhodopsins, where seven transmembrane helices are indicated by dotted rectangles. The amino acid changes that cause blue and red shifts in the λ_{\max} are shown by blue and red circles, respectively, and those that are unlikely to cause any λ_{\max} shifts are indicated by black circles.

Fourth, not only can the identical mutations in different pigments cause different magnitudes of λ_{\max} shift, but also mutations in the opposite directions often shift the λ_{\max} to the opposite directions by different magnitudes. Hence, if we are interested in elucidating the evolutionary mechanisms of functional and phenotypic adaptations, we have to study forward mutations by using appropriate ancestral molecules rather than introducing mutations into contemporary molecules.

Fifth, even when the phylogenetic position of a molecule is unknown, its functional assay can clarify the molecular evolution of functional adaptation. For example, the phylogenetic position of LAMPFISH is uncertain (Fig. 1). However, because the E122Q that decreased the λ_{\max} of pigment f is different from the other critical amino acid replacements in evolutionarily closely related rhodopsins, we can easily establish an independent origin of the functional change in LAMPFISH. To explore adaptive evolution of certain traits, therefore, both functional and molecular analyses of such traits are necessary.

Our analyses demonstrate that it is important to relate the functional changes of molecules to the ecological or behavioral changes that presumably caused the functional and phenotypic changes in the first place. Studying functional changes of ancestral rhodopsins and relating them to the associated environmental changes of organisms' habitats, we have established the mechanisms of functional adaptation of dim-light vision in vertebrates. To fully appreciate how adaptive evolution of dim-light vision has occurred, it is essential that the effects of critical amino acid changes on the λ_{\max} shift are studied at the chemical level. Quantum chemical analyses of these amino acid changes will lead to a better understanding of the chemical basis of adaptive evolution of visual pigments and significantly enhance our understanding of the chemical basis of phototransduction in general.

Materials and Methods

Samples and Molecular Cloning of Rhodopsin Genes. High molecular weight DNAs of the five deep-sea fish (Northern lampfish, scabbardfish, Pacific viperfish, Pacific blackdragon, and shining loosejaw) were isolated from their body

tissues by using a standard phenol-chloroform extraction procedure. The rhodopsin genes of these species were cloned by PCR and inverse PCR methods and were sequenced. The DNA sequences of the rhodopsin genes in Japanese eel (AJ249202, AJ249203), Japanese conger (AB043817, AB043818), and bluefin killifish (AY296738) were taken from GenBank.

Spectral Analyses of Rhodopsins. The contiguous intronless opsins were obtained by PCR amplification and expressed in COS1 cells, and the corresponding rhodopsins were regenerated by incubating the opsins with 11-*cis*-retinal. The absorption spectra of these rhodopsins were recorded by using a Hitachi U-3000 dual beam spectrophotometer (13).

Data Analyses. We considered 38 representative rhodopsins from 35 species: CONGER-A, CONGER-B (Japanese conger), EEL-A, EEL-B (Japanese eel), CAVEFISH (Mexican cavefish), GOLDFISH (goldfish), ZEBRAFISH (zebrafish), N-SAM, N-ARG, S-PUN, S-MIC, S-DIA, S-XAN, N-AUR, S-SPI, S-TIE, M-VIO, M-BER (squirrelfish), BFN KILLIFISH (bluefin killifish), O-NIL, X-CAU (cichlids), THORNYHEAD (thornyhead), LAMPFISH (Northern lampfish), SCABBARD-A, SCABBARD-B (scabbardfish), VIPERFISH (Pacific viperfish), BLACKDRAGON (Pacific blackdragon), LOOSEJAW (shining loosejaw), COELACANTH (coelacanth), CLAWED FROG (African clawed frog), SALAMANDER (tiger salamander), CHAMELEON (American chameleon), PIGEON (pigeon), CHICKEN (chicken), ZEBRA FINCH (zebra finch), BOVINE (bovine), DOLPHIN (bottlenose dolphin), and ELEPHANT (African elephant) (refs. 12, 14, 19, 21, 37, and 38 and references therein). Based on the phylogenetic-tree topology in Fig. 1, we inferred the amino acid sequences of ancestral pigments by using the PAML program(3). Positively selected amino acid sites of rhodopsins were inferred by using the maximum-likelihood-based Bayesian (3) and parsimony methods (4).

Genetic Engineering of Ancestral Pigments and Mutagenesis Analyses. The ancestral pigments were engineered by introducing a series of mutations into several contemporary rhodopsins (Table S3). All necessary mutations in reconstructing ancestral rhodopsins as well as forward and reverse mutations were introduced by using the QuikChange site-directed mutagenesis kit (Stratagene). To rule out spurious mutations, the DNA sequences of the mutant rhodopsins were sequenced.

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